



## DECLARATION

### INVENTORSHIP IDENTIFICATION

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

### METHODS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTION INFORMATION

### TITLE OF INVENTION

### SPECIFICATION IDENTIFICATION

the specification of which:

- (a)  is attached hereto.
- (b)  was filed on July 31, 2003, as  Serial No. 10/631,243  
 and was amended on (if applicable).
- (c)  was described and claimed in PCT International Application No. filed on  
 and was amended on (if applicable).

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56.

### PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d) (Prior Foreign/Pct Application(S) Filed Within 12 Months (6 Months For Design) Prior To This Application)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate(s) or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Application Number	Country or PCT	Date Of Filing (Day, M nth, Year)	Priority not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/> YESNO <input type="checkbox"/>

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
60/399,929	July 31, 2002

**CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120**

(All Foreign Application(S), If Any, Filed More Than 12 Months  
(6 Months For Design) Prior To This U.S. Application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

U.S Parent Application No.	PCT Parent Application No.	Date Of Filing (Day, Month, Year)	Parent Patent No. (If applicable)

**DECLARATION**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

**SIGNATURE(S)****Inventor(s)**

<u>Kimberly</u> (GIVEN NAME)	<u>D.</u> (MIDDLE INITIAL OR NAME)	<u>Seaman</u> FAMILY (OR LAST NAME)
Inventor's signature	<u>Ken S. D. Seaman</u>	
Date	<u>11/20/03</u>	Country of Citizenship <u>USA</u>
Residence	<u>Phoenixville, PA 19460</u>	
Mailing Address:	<u>20 Continental Drive, Phoenixville, PA 19460</u>	

<u>Melissa</u> (GIVEN NAME)	<u>G</u> (MIDDLE INITIAL OR NAME)	<u>Go</u> FAMILY (OR LAST NAME)
Inventor's signature	<u>Melissa Go</u>	
Date	<u>11/20/03</u>	Country of Citizenship <u>USA</u>
Residence	<u>Warminster, PA 18974</u>	
Mailing Address:	<u>131 Hancock Road, Warminster, PA 18974</u>	

<u>Virginia</u> (GIVEN NAME)	<u>Kern</u> (MIDDLE INITIAL OR NAME)	<u>FAMILY (OR LAST NAME)</u>
Inventor's signature	<u>Virginia Kern</u>	
Date	Country of Citizenship <u>USA</u>	
Residence	<u>Charlotte, NC 28269</u>	
Mailing Address:	<u>3111 Peregrine Court, Charlotte, NC 28269</u>	

<u>Louise</u> (GIVEN NAME)	<u>Glass</u> (MIDDLE INITIAL OR NAME)	<u>FAMILY (OR LAST NAME)</u>
Inventor's signature	<u>Louise Glass</u>	
Date	Country of Citizenship <u>USA</u>	
Residence	<u>Edmond, OK</u>	
Mailing Address:		

- Signatur** by administrator(trix), or legal representative for deceased or incapacitated inventor.  
*Number of pages added* \_\_\_\_\_.
- Signature** for inventor who refuses to sign or cannot be reached by person authorized under  
37 CFR 1.47. *Number of pages added* \_\_\_\_\_.
- Added page for **signature** by one joint inventor on behalf of deceased inventor(s) where legal  
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- Authorization of attorney(s) to accept and follow instructions from representative.
- This declaration ends with this page.

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*(Circular stamp: DEC 29 2003 JC26 PATENT & TRADEMARK OFFICE)*

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## SIGNATURE(S)

Inventor(s)

Kimberly (GIVEN NAME)	D. (MIDDLE INITIAL OR NAME)	Seaman FAMILY (OR LAST NAME)
Inventor's signature	<u>Kern D. Seaman</u>	
Date	<u>11/20/03</u>	Country of Citizenship <u>USA</u>
Residence	<u>Phoenixville, PA 19460</u>	
Mailing Address:	<u>20 Continental Drive, Phoenixville, PA 19460</u>	

Melissa (GIVEN NAME)	<u>M</u> (MIDDLE INITIAL OR NAME)	Go FAMILY (OR LAST NAME)
Inventor's signature	<u>Melissa Go</u>	
Date	<u>11/20/03</u>	Country of Citizenship <u>USA</u>
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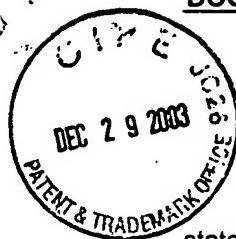
Virginia (GIVEN NAME)	A. (MIDDLE INITIAL OR NAME)	Kern FAMILY (OR LAST NAME)
Inventor's signature	<u>Virginia A. Kern</u>	
Date	<u>12/4/03</u>	Country of Citizenship <u>USA</u>
Residence	<u>Charlotte, NC 28269</u>	
Mailing Address	<u>3111 Peregrine Court, Charlotte, NC 28269</u>	

**PLEASE SIGN  
& DATE**

Louise (GIVEN NAME)	<u>L</u> (MIDDLE INITIAL OR NAME)	Glass FAMILY (OR LAST NAME)
Inventor's signature	<u>Louise Glass</u>	
Date		
Residence	<u>Edmond, OK</u>	
Mailing Address:		

**PLEASE SIGN  
& DATE**

- Signature by administrator(trix), or legal representative for deceased or incapacitated inventor.  
*Number of pages added* \_\_\_\_\_.
- Signature for inventor who refuses to sign or cannot be reached by person authorized under  
37 CFR 1.47. *Number of pages added* \_\_\_\_\_.
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DOCKET NO. 020420PATENTSIGNATURE(S)

## Inventor(s)

Kimberly (GIVEN NAME)	D. (MIDDLE INITIAL OR NAME)	Spanier FAMILY (OR LAST NAME)
--------------------------	--------------------------------	----------------------------------

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship USA \_\_\_\_\_

Residence Phoenixville, PA 19480 \_\_\_\_\_

Mailing Address: 20 Continental Drive, Phoenixville, PA 19480 \_\_\_\_\_

Melissa (GIVEN NAME)	(MIDDLE INITIAL OR NAME)	Gro FAMILY (OR LAST NAME)
-------------------------	--------------------------	------------------------------

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship USA \_\_\_\_\_

Residence Warminster, PA 18974 \_\_\_\_\_

Mailing Address: 191 Hancock Road, Warminster, PA 18974 \_\_\_\_\_

Virginia (GIVEN NAME)	(MIDDLE INITIAL OR NAME)	Kom FAMILY (OR LAST NAME)
--------------------------	--------------------------	------------------------------

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship USA \_\_\_\_\_

Residence Charlotte, NC 28269 \_\_\_\_\_

Mailing Address: 3111 Peregrine Court, Charlotte, NC 28269 \_\_\_\_\_

Louise (GIVEN NAME)	(MIDDLE INITIAL OR NAME)	Glass FAMILY (OR LAST NAME)
------------------------	--------------------------	--------------------------------

Inventor's signature Louise Glass \_\_\_\_\_

Date 12/11/03 Country of Citizenship USA \_\_\_\_\_

Residence Edmond, OK 73034 \_\_\_\_\_

Mailing Address: 3501 French Park Drive, Suite A, Edmond, OK 73034 \_\_\_\_\_

X Louise Glass

- Signature by administrator(trix), or legal representative for deceased or incapacitated inventor.  
*Number of pages added \_\_\_\_\_.*
- Signatur for inventor who refuses to sign or cannot be reached by person authorized under  
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- Authorization of attorney(s) to accept and follow instructions from representative.
- This declaration ends with this page.

Practitioner's Docket No. 020420

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seaman et al.  
Application No.: 10/631,243  
Filed: July 31, 2003



For: METHODS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTION INFORMATION

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box: 1450  
Alexandria, VA 22313-1450

**STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO  
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION**

**NOTE:** This form is to be used when the declaration only indicates the name(s) of the inventor(s) and the title of the invention. Notice of September 12, 1983, 1035 O.G. 3.

I,

Daniel R. Miller.

Name of Practitioner

Kirkpatrick & Lockhart LLP

P.O. Address

Henry W. Oliver Building, 535 Smithfield Street, Pittsburgh, Pennsylvania 15222-2312

Reg. No. 52,030

Tel. No. (412 ) 355-6773

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

Daniel R. Miller  
SIGNATURE OF PRACTITIONER

Customer No.: 26285

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Box: Missing Part, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450.

**FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature

\_\_\_\_\_  
(type or print name of person certifying)

(Statement by Practitioner That Application Filed in PTO is the One Inventor Executed by Signing Declaration